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08-1381

PTO/SB/18 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

420.004

First Named Inventor

Kim P. Viesselmann

Title

**JOINTED BALE KICKER FOR A ROUND  
BALER**

Express Mail Label No.

EL922961755US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. (X) Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ( ) Applicant claims small entity status  
See 37 CFR 1.27
3. (X) Specification [Total Pages 19]  
(preferred arrangement set forth below, MPEP 1503.01)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
4. (X) Drawing(s) (35 U.S.C. 113) [Total Sheets 8]
5. Oath or Declaration [Total Pages 2]
  - a. (X) Newly executed (original or copy)
  - b. ( ) Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 16 completed)
    - i. ( ) **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63 (d)(2) and 1.33 (b).
6. ( ) Application Data Sheet. See 37 CFR 1.76
7. ( ) CD-ROM or CD-R in duplicate, large table or Computer Program  
(Appendix)

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

8. ( ) Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ( ) Computer Readable Form (CRF)
  - b. ( ) Specification Sequence Listing on:
    - i. ( ) CD-ROM or CD-R (2 copies); or
    - ii. ( ) paper
  - c. ( ) Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ( ) Assignment Papers (cover sheet & document(s))
10. ( ) 37 CFR 3.73(b) Statement ( ) Power of Attorney  
(when there is an assignee)
11. ( ) English Translation Document (if applicable)
12. ( ) Information Disclosure Statement (IDS)/PTO-1449 ( ) Copies of IDS Citations
13. ( ) Preliminary Amendment
14. (X) Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ( ) Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. (X) Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i) - Applicant must attached form PTO/SB/35 or its equivalent
17. ( ) Other: \_\_\_\_\_

**16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:**

( ) Continuation ( ) Divisional ( ) Continuation-in-part (CIP) of prior application No: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

☐ Customer Number or Bar Code Label

(Insert Customer Number or Bar Code Label here)

or ☒ Correspondence address below

Name Andrew S. McConnell

Address

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Name (Print/Type) Andrew S. McConnell

Registration No. (Attorney/Agent) 32,272

Signature

*Andrew S. McConnell*

Date 8/10/01

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)**862.00**

## Complete if Known

Application Number  
 Filing Date  
 First Named Inventor Kim P. Viesselmann et al  
 Examiner Name  
 Group Art Unit  
 Attorney Docket No. 420.004

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-1170  
 Deposit Account Name Boyle Fredrickson Newholm Stein & Gratz S.C.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:  
☒ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION (continued)

3. ADDITIONAL FEES						Fee Description	Fee Paid
Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)				
105	130	205	65	Surcharge – late filing fee or oath			
127	50	227	25	Surcharge – late provisional filing fee or cover sheet			
139	130	139	130	Non-English specification			
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination			
112	920*	112	920*	Requesting publication of SIR prior to Examiner action			
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			
115	110	215	55	Extension for reply within first month			
116	390	216	195	Extension for reply within second month			
117	890	217	445	Extension for reply within third month			
118	1,390	218	695	Extension for reply within fourth month			
128	1,890	228	945	Extension for reply within fifth month			
119	310	219	155	Notice of Appeal			
120	310	220	155	Filing a brief in support of an appeal			
121	270	221	135	Request for oral hearing			
138	1,510	138	1,510	Petition to institute a public use proceeding			
140	110	240	55	Petition to revive – unavoidable			
141	1,240	241	620	Petition to revive – unintentional			
142	1,240	242	620	Utility issue fee (or reissue)			
143	440	243	220	Design issue fee			
144	600	244	300	Plant issue fee			
122	130	122	130	Petitions to the Commissioner			
123	50	123	50	Processing fee under 37 CFR 1.17(q)			
126	180	126	180	Submission of Information Disclosure Stmt			
581	40	581	40	Recording each patent assignment per property (times number of properties)			
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))			
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))			
179	710	279	355	Request for Continued Examination (RCE)			
169	900	169	900	Request for expedited examination of a design application			
Other fee (specify) _____							
*Reduced by Basic Filing Fee Paid							
SUBTOTAL (3)						(\$) <b>0</b>	

FEE CALCULATION						
1. BASIC FILING FEE						
Large Entity		Small Entity		Fee Description	Fee Paid	
Fee Code	Fee (\$)	Fee Code	Fee (\$)			
101	710	201	355	Utility filing fee	710.00	
106	320	206	160	Design filing fee		
107	490	207	245	Plant filing fee		
108	710	208	355	Reissue filing fee		
114	150	214	75	Provisional filing fee		
SUBTOTAL (1)				(\$) <b>710.00</b>		

2. EXTRA CLAIM FEES

		Extra Claims				Fee from Below		Fee Paid
Total Claims	24	-20**=	4	x		18	=	72
Independent Claims	4	-3**=	1	x		80	=	80
Multiple Dependent							=	

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)				(\$) <b>152.00</b>

\*\* or number previously paid, if greater; For Reissues, see above

\*\* or number previously paid, if greater; For Reissues, see above

SUBMITTED BY				Complete (if applicable)	
Name (Print/Type)	Andrew S. McConnell	Registration No. (Attorney/Agent)	32,272	Telephone	414-225-9755
Signature		Date	8/10/01		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant commissioner for Patents, Washington, DC 20231.

Applicant: KIM P. VIESSELMANN ET AL

CERTIFICATE OF EXPRESS MAIL

This is to certify that this correspondence is being deposited with the United States Postal Service as EXPRESS MAIL - POST OFFICE TO ADDRESSEE, in an envelope addressed to BOX PATENT APPLICATION, COMMISSIONER FOR PATENTS, Washington, DC 20231 on August 10, 2001. The Express Mail Label No. is EL922961755US.

  
\_\_\_\_\_  
Andrew S. McConnell

8/10/01  
\_\_\_\_\_  
Date

00005166.DOC /}

**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor KIM P. VIESSELMANN

Title

JOINTED BALE KICKER FOR A ROUND BALER

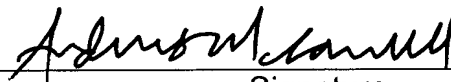
Atty. Docket Number

420.004

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

August 10, 2001

Date



Signature

Andrew S. McConnell

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(b)(iii)).**